

<b>Agenda Item</b>
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<b>Joint Commissioning Committee</b>
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<b>Joint Commissioning Committee Risk Register</b>
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<b>Dyddiad y Cyfarfod / Date of Meeting</b>	21/01/2025
<b>Statws Cyhoeddi / Publication Status</b>	Open/ Public
	Not Applicable
<b>Awdur yr Adroddiad / Report Author</b>	Maxine Evans, Assurance and Risk Officer
<b>Cyflwynydd yr Adroddiad / Report Presenter</b>	Jacqui Maunder, Committee Secretary
<b>Noddwr Gweithredol yr Adroddiad / Report Executive Sponsor</b>	Jacqui Maunder, Committee Secretary

<b>Pwrpas yr Adroddiad / Report Purpose</b>	Approval Choose an item.
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<b>Engagement (internal/external) undertaken to date (including receipt /consideration at Committee/Group)</b>		
<b>Committee / Group / Individuals</b>	<b>Date</b>	<b>Outcome</b>
JCC Strategy Workshop	10 December 2024	Discussed
JCC SLT	8 January 2025	Approved
<b>Acronyms / Glossary of Terms</b>		
JCC	Joint Commissioning Committee	
SLT	Senior Leadership Team	
CTMUHB	Cwm Taf Morgannwg University Health Board	

## 1. SITUATION

The purpose of this report is to present the Joint Commissioning Committee's (JCCs') transitional risk register as at 30 November 2024, which encompasses

risks scoring 15 and above. The report also provides an update on the work undertaken to develop a new risk register for the JCC including a risk appetite statement. The latter will be presented to the JCC at its strategy session on 18 February 2025 for consideration.

## 1.1 Background

Further to the establishment of the JCC on 1 April 2024, in accordance with the new Hosting Agreement (HA) with CTMUHB, the JCC is required to adopt the risk assessing mechanisms of CTMUHB. Any adaptation to the agreed risk processes of the Host Body, which may be required owing to the specific functions of the JCC/JCCT, should only be made after consulting with the Host Body Executive Director of Finance and the Director of Corporate Governance/Board Secretary.

On [16 July 2024](#) the Joint Committee approved the transitional amalgamated risk register incorporating the high risks of predecessor organisations EASC, NCCU and WHSSC and which aligned the layout of the risk register to the CTMUHB Risk Register template to move the JCC a step forward on its risk development journey.

The risk register for the JCC as at 30 November 2024 (**Appendix 1**) remains transitional whilst further work continues to be undertaken to fully develop and implement the Risk Management Strategy for the JCC (in line with the CTMUHB HA), and until the JCC has had opportunity to consider its risk appetite following discussion at the JCC development session on 15 October 2024. A final draft risk appetite statement will be presented to the JCC at its strategy session on 18 February 2025.

The Vision, Mission and Strategic Objectives of the JCC were considered and approved by the JCC at its meeting on [17 September 2024](#) and work is ongoing to triangulate the high risks against them.

## 2. JCC RISK PROFILE - NOVEMBER 2024

### 2.1 Risk Summary

As at 30 November 2024 there are **16** risks with a score of 15 and above (high risks) on the transitional Risk Register. A summary of these risks is outlined below.

### 2.2 Commissioning Risks

There are **14** risks open with a risk score of 15 and above:

- Ambulance Services x 2
- Cancer and Blood x 1
- Cardiac x 3
- Neurosciences x 3
- Welsh Kidney Network x 1
- Women and Children x 4

A summary of the changes that have taken place up to November 2024 are outlined in **table 1** below:

Table 1 – Commissioning Risk Profile – November 2024

<b>Commissioning Risk Activity</b>	<b>Update as at November 2024</b>
<b>New Commissioning Risks</b>	No new risks have been added
<b>Escalated Commissioning Risks</b>	No risks were escalated
<b>De-escalated Commissioning Risks</b>	<p>One risk has been de-escalated:</p> <ul style="list-style-type: none"> <li>• <u>Risk 38 (P/21/16) – No neonatal cot availability in South Wales due to staffing shortages (was Red 16)</u></li> </ul> <p>The Women and Childrens (W&amp;C) Commissioning Team have reviewed the risk and reduced the risk score to 12. The correct number of cots are now being commissioned in the network with supported activity data based on occupancy levels of 75%. From a commissioning perspective it was deemed appropriate to reduce the likelihood for this risk.</p>
<b>Closed Risks</b>	<p>One risk has been closed:</p> <ul style="list-style-type: none"> <li>• <u>Risk 64 (WKN15) - Lack of Interventional Radiology at SBUHB</u></li> </ul> <p>The Welsh Kidney Network (WKN) Commissioning Team have reviewed this risk. This is no longer considered a risk and is now instead categorised as an issue. This is not a commissioned area of the WKN, but it is recognised that the service forms part of the patient pathway. Work needs to be undertaken by the provider in assessing the impact on patient care. The results of which may become a commissioning risk for the WKN would be attributed to capacity, demand and patient safety.</p>

### **2.3 Mental Health & Vulnerable Groups Risks**

The Director of Commissioning for Mental Health & Vulnerable Groups (MH&VG) has undertaken an in-depth review of the risks related to its full commissioning portfolio following the merger of the two mental health directorates from the predecessor NCCU and WHSSC joint commissioning committees.

In resetting the risks, including the risk description and risk scoring, the MH&VG commissioning team has followed a similar approach to risk development in line with the Ambulance Services and 111 commissioning directorate. This approach will be adopted through the resetting of the risks for the specialised services directorate planned to take place throughout Quarter 4 2025 to ensure consistency across the JCC.

The new risks developed for the MH&VG directorate will be considered by the Senior Leadership Team for the appropriate inclusion to the JCC risk register and reflected in the January 2025 Risk Register.

## 2.4 Corporate/Organisational Risks

There are **2** risks open with a risk score of 15 and above:

- Corporate Services x1
- Finance & Information x1

Both risks have been reviewed and a summary of the changes that have taken place in November 2024 are outlined in **table 2** below.

Table 2 – Corporate/Organisational Risk Profile – November 2024

Corporate Risk Activity	Update as at November 2024
<b>New Risks</b>	No new risks were added
<b>Escalated Risks</b>	No risks were escalated
<b>De-escalated Risks</b>	No risks were de-escalated
<b>Closed Risks</b>	No risk were closed

## 3. ASSESSMENT

Objectives / Strategy	
<b>Dolen i Amcan (au) Strategol CBC / Link to JCC Strategic Objectives(s)</b>	Ensure Quality
	All of the Strategic Objectives apply
<b>Dolen i Ddeddf Llesiant Cenedlaethau'r Dyfodol – Nodau Llesiant / Link to Wellbeing of Future Generations Act – Wellbeing Goals</b>	A Resilient Wales
	If more than one applies please list below: A Healthier Wales A More Equal Wales

<a href="#">150623-guide-to-the-fg-act-en.pdf</a> ( <a href="#">futuregenerations.wales</a> )	
<b>Dolen i Hwyluswyr Ansawdd</b> <i>(Canllawiau Statudol Dyletswydd Ansawdd (llyw.cymru)) /</i> <b>Link to Enablers of Quality</b> <i>(Duty of Quality Statutory Guidance (gov.wales))</i>	Whole-systems Perspective
	If more than one applies please list below:
<b>Dolen i Feysydd Ansawdd</b> <i>(Canllawiau Statudol Dyletswydd Ansawdd (llyw.cymru)) /</i> <b>Link to Domains of Quality</b> <i>(Duty of Quality Statutory Guidance (gov.wales))</i>	Effective
	If more than one applies please list below: Person Centred Timely Safe
<b>Effaith Amgylcheddol/ Cynaliadwyedd (5R) / Environmental /Sustainability Impact (5Rs)</b>	No - Not Applicable
	If more than one applies please list below:
<b>Impact Assessment</b>	
<b>Ansawdd</b> <i>Ydych chi wedi ymgymryd â Sgrinio Asesiad o'r Effaith ar Ansawdd? /</i> <b>Quality</b> <i>Have you undertaken a Quality Impact Assessment Screening?</i>	Yes: <input type="checkbox"/>
	No: <input checked="" type="checkbox"/>
<b>Cydraddoldeb</b> <i>Ydych chi wedi ymgymryd â Sgrinio Asesiad o'r Effaith ar Gydraddoldeb? /</i> <b>Equality</b> <i>Have you undertaken an Equality Impact Assessment Screening?</i>	Outcome:
	If no, please include rationale below:  N/A
<b>Cyfreithiol / Legal</b>	Yes: <input type="checkbox"/>
	No: <input checked="" type="checkbox"/>
<b>Enw da / Reputational</b>	Outcome:
	If no, please include rationale below:  N/A
<b>Cyfreithiol / Legal</b>	There are no specific legal implications related to the activity outlined in this report.
<b>Enw da / Reputational</b>	There is no direct impact on the reputation of the Joint Committee as a result of the activity outlined in this report.

<b>Effaith Adnoddau</b> <i>(Pobl / Ariannol) /</i> <b>Resource Impact</b> <i>(People / Financial)</i>	There is no direct impact on resources as a result of the activity outlined in this report.

#### 4. RECOMMENDATIONS

Members are asked to:

- **Note** the report,
- **Approve** the JCC risk register and mitigating actions as at 30 November 2024;
- **Note** the review work undertaken by the MH&VG Commissioning Directorate; and
- **Note** the on-going development of a risk appetite statement for the JCC which will be presented to the JCC at its strategy session on 18 February 2025.

#### 5. NEXT STEPS

The steps to develop and strengthen the JCC risk register since the last update to the Joint Committee are set out in **table 3** below.

In addition, the plan to develop and strengthen the risk register will be supported by facilitated individual commissioning directorate meetings to horizon scan new risks and review current ones. These meetings are ongoing, supported by senior representation from the corporate directorates to ensure wider aspects of the risks are considered and captured.

Table 3 – Timetable for Developing the JCC Risk Register

<b>Date</b>	<b>Activity</b>	<b>Update for SLT</b>
10-Dec-24	JCC Strategy Workshop	Discussion on ambulance performance and capacity risks and financial risks as part of the IMTP discussions
17-Dec-24	CTMUHB Audit and Risk Committee	ARC received the risk register approved by the JCC on 12 November 2024  Update given on work to further develop the risk register
21-Jan-25	JCC Meeting	JCC will receive the November risk register.
13-Feb-25	CTMUHB Audit and Risk Committee	ARC will receive the risk register approved by the JCC on 21 January 2025.

<b>Date</b>	<b>Activity</b>	<b>Update for SLT</b>
		A presentation to be given on risks relating to the commissioning of ambulance services.
18-Feb-25	JCC Strategy Workshop	A draft Risk Appetite Statement for the JCC will be presented for discussion
5-Mar-25	SLT Formal Meeting	March 2025 draft risk register – specific discussion on mental health, learning disability and vulnerable group risks
18-Mar-25	JCC Meeting	<ul style="list-style-type: none"> <li>• IMTP to be presented for approval</li> <li>• draft Risk Appetite Statement presented for approval</li> <li>• JCC to receive risk register</li> </ul>
9-April-25	SLT Formal Meeting	April 2025 draft risk register – specific discussion on specialised services risks
20-May-25	JCC Meeting	JCC to receive risk register
22-May-25	CTMUHB Audit and Risk Committee	ARC will receive the risk register approved by the JCC on 20 May 2025.
4-Jun-25	SLT Formal Meeting	SLT review risk register after 1 to 1 meetings with each Director to amend risk profiles
July-25	SLT Formal Meeting	New risk register with recalibrated risks and narrative aligned to the strategic objectives to be presented
July-25	JCC Meeting	New risk register with recalibrated risks and narrative aligned to the strategic objectives to be presented