

**CTMUHB Audit and Risk Hosted Bodies Committee – Part 2**  
**Assurance Report**

<b>Reporting Committee</b>	<b>CTMUHB Audit and Risk Committee – Part 2</b>
<b>Chaired by</b>	<b>Patsy Roseblade, Chair of the Audit &amp; Risk Committee</b>
<b>In attendance for the JCC</b>	<b>Paul Worthington, JCC Lay Member Audit and Finance Lead</b> <b>Stacey Taylor, Director of Finance &amp; Information</b> <b>Jacqui Maunder, Committee Secretary</b>
<b>Date of Meeting</b>	<b>15 August 2024</b>
<b>Report Author</b>	<b>Committee Secretary</b>

**Summary of key matters considered by the Committee and any related decisions made**

The CTMUHB Audit & Risk Committee (ARC) for hosted bodies provides assurance to the NHS Wales Joint Commissioning Committee (JCC) of the effectiveness of its arrangements for handling reservations and delegations. The Memorandum of Agreement states that the Audit Lead will provide reports to the JCC following the Host Audit & Risk Committee meetings. This assurance report sets out the key areas of discussion and decision.

**1. JCC ORGANISATIONAL RISK REGISTER**

Jacqui Maunder (JM), Committee Secretary presented a transitional amalgamated risk register for the Joint Commissioning Committee (JCC) which encompassed risks scoring 15 and above taken from the commissioning teams and directorate risk registers across the former EASC, NCCU and WHSSC predecessor organisation risk registers.

Members noted that the amalgamated risk register was categorised as a transitional risk register whilst further work was undertaken to fully align to the risk management framework within CTMUHB (in accordance with the hosting agreement) and until the JCC has had an opportunity to consider its risk appetite as part of the JCC development programme. Members were advised that the Assistant Director of Governance & Risk will be providing a Risk Management Training Session for the JCC in early September.

Members noted that a significant amount of work had gone into developing the new risk register, and it was important to emphasise that it was a work in progress

and there was still much more work to be undertaken to strengthen and develop it in conjunction with risk owners, commissioners and providers.

Members requested further assurance in the following areas:

- Review of target risk scores with the need to capture the rationale where the consequence score has been reduced.
- where risks scores are de-escalated narrative should reflect the position once mitigating action had been completed and not based on the confidence of plans coming to fruition. An update will be provided at the next meeting.

The Committee:

- **Noted** the report,
- **Noted** the updated transitional amalgamated risk register for the Joint Commissioning Committee and changes to the risks outlined in the report as at 30 June 2024,
- **Noted** the work undertaken to date to produce a transitional amalgamated risk register,
- **Noted** that the Joint Commissioning Committee (JCC) approved the amalgamated risk register on 16 July 2024; and
- **Noted** the further work planned to fully develop the CTM Risk Management Strategy for the JCC, and the steps required to implement it.

## 2. JCC FINANCIAL CONTROL PROCEDURES

Stacey Taylor (ST), Director of Finance updated the Committee on All Financial Control Procedures inherited by the JCC that had exceeded their 3 year review cycle and must now be tested for continued fitness of purpose and where necessary be amended in light of how the JCC operates.

It was noted that the corresponding Cwm Taf Morgannwg (CTM) UHB Financial Control Procedures were due to be received in the main meeting of the Audit & Risk Committee.

The Committee **approved** the review of the JCC Financial Control Procedures.

## 3. JCC AUDIT TRACKER

JM presented the first iteration of the JCC's amalgamated audit recommendations tracker incorporating the audit recommendations of the predecessor organisations EASC & WHSSC.

Members noted that:

- **Internal Audit**
  - there were 4 legacy internal audit reports with outstanding recommendations which had been carried forward into the JCC
  - the JCC were in discussions with the internal audit team to confirm the audit programme for 2024-2025

- **External Audit** - As a consequence of the establishment of the JCC on 1 April 2024 there are legacy recommendations outstanding relating to the review of Audit Wales Committee Governance Arrangements at WHSSC report. The report outlined 4 recommendations for WHSSC all of which were completed in 2023. The report outlined three recommendations for Welsh Government (WG) two of which are categorised as partially completed and remain outstanding enquiries have been made with Welsh Government to obtain an update on WG discussions with Audit Wales on whether the outstanding actions could be categorised as completed, given that the committees of WHSSC and EASC had been combined under the JCC, and that the risk and governance frameworks were now established.

The Committee:

- **Noted** the report,
- **Noted** the amalgamated JCC audit recommendations tracker incorporating the audit recommendations of the predecessor organisations EASC & WHSSC,
- **Noted** the progress achieved in implementing the recommendations made,
- **Received assurance** on the rolling programme to collate updates from services on a bi-monthly basis in order to report progress to the Audit and Risk Committee; and
- **Noted** the progress made against the Welsh Government responses to the Audit Wales recommendations outlined in the WHSSC Committee Governance Arrangements report.

#### **Matters referred to other Committees**

None

<b>Date of next scheduled meeting</b>	17 October 2024
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