

CTMUHB Audit and Risk Hosted Bodies Committee – Part 2
Assurance Report

Reporting Committee	CTMUHB Audit and Risk Committee – Part 2
Chaired by	Patsy Roseblade, Chair of the Audit & Risk Committee
In attendance for the JCC	Paul Worthington, JCC Lay Member – Audit & Finance Lead Stacey Taylor, Director of Finance & Information Jacqui Maunder, Committee Secretary
Date of Meeting	17 October 2024
Report Author	Committee Secretary
Summary of key matters considered by the Committee and any related decisions made	
<p>The CTMUHB Audit & Risk Committee (ARC) for hosted bodies provides assurance to the Joint Commissioning Committee (JCC) of the effectiveness of its arrangements for handling reservations and delegations. The Memorandum of Agreement states that the Audit Lead will provide reports to the JCC following the Host Audit & Risk Committee meetings. This assurance report sets out the key areas of discussion and decision.</p>	
<p>1. Joint Commissioning Committee Update Report – Verbal</p> <p>Jacqui Maunder (JM), Committee Secretary provided a verbal update on the business activities of the Joint Commissioning Committee (JCC), and members noted:</p> <ul style="list-style-type: none"> Abigail Harris, the interim Chief Commissioner for the JCC had been appointed as the new CEO for SBUHB, and a recruitment exercise to appoint a substantive Chief Commissioner was underway via Gatenby Sanderson recruitment specialists, supported by the CTMUHB workforce team, Welsh Government (WG) had appointed two new lay members to the JCC, making a full complement of five lay members. The new members were due to commence in November and WG would make a formal announcement shortly, The final elements of the JCC’s governance framework were endorsed by the Joint Committee on 17 September 2024, and were approved by the seven x HBs in September 2024 specifically the hosting agreement, the Memorandum of agreement, the structure and Terms of reference for the new Planning, Performance and Finance sub-committee and the Quality, Safety and Outcomes sub-committee which would be introduced from 1 December 2024, 	

- The existing arrangements for Audit and Risk would continue via the CMTUHB Hosted Bodies Audit and Risk Committee (ARC),
- The JCC approved its vision, mission and strategic objectives on 17 September 2024 and work is being undertaken to align all risks to the new strategic objectives,
- Work on the transition plan continues and Georgina Galletly is in place as Director of Transition and Transformation; and
- In terms of future progress updates for the ARC - JM would discuss with Gareth Watts, Director of Corporate Governance, CTMUHB and an update will be provided at the December meeting.

The Committee **noted** the verbal update.

2.JCC Organisational Risk Register

JM presented a transitional amalgamated risk register for the Joint Commissioning Committee (JCC) which encompassed risks scoring 15 and above taken from the commissioning teams and directorate risk registers across the former EASC, NCCU and WHSSC predecessor organisation risk registers.

Members noted that as at 31 July 2024, there were 27 risks with a score of 15 and above (high risks) on the Risk Register comprising of 24 commissioning risks and three corporate risks. It was agreed that if risks remained at 25 they should be discussed in detail at the meeting.

Member noted that the risk register for the JCC as at 31 July 2024 remained transitional whilst further work continued to be undertaken to fully develop and implement the Risk Management Strategy for the JCC (in line with the hosting agreement) and until the JCC has an opportunity to consider its risk appetite as part of the JCC development programme.

Members noted that a significant amount of work had gone into developing the JCC risk management process including:

- Risk Management Training – 12 September 2024 - Cally Hamblyn, CTMUHB provided risk management training aimed at Directors, Assistant Directors and risk authors on 12 September 2024. The training was well received by those who attended in gaining an improved understanding and practical approach to managing risk,
- Risk Scrutiny Task & Finish Group – 19 September 2024 - Following the risk management training, on 19 September 2024 a small Task & Finish Group was convened to undertake a review of the highest scoring risks for the JCC, with a risk score of 20 – 25,
- The Vision, Mission and Strategic Objectives of the JCC were considered and approved by the JCC at its meeting on 17 September 2024 and work has commenced to triangulate the high risks against them,
- A Risk Management workshop with the Senior Leadership Team (SLT) was held on 25 September 2024; and

- A JCC development session held on 15 October 2024 had an in depth discussion on risk appetite and tolerance.

JM expressed her thanks to Cally Hamblyn, Assistant Director of Governance and Risk at CTMUHB for supporting the JCC in developing its risks management framework through providing professional training and guidance.

The Committee:

- **Noted** the report
- **Noted** the JCC risk register as at 31 July 2024
- **Noted** the continued work undertaken to date to produce a transitional amalgamated risk register; and
- **Noted** the further work planned to fully develop the CTM Risk Management Strategy and Risk Register for the JCC.

3.JCC Audit Tracker

JM presented the first iteration of the JCC's amalgamated audit recommendations tracker incorporating the audit recommendations of the predecessor joint committees, EASC & WHSSC.

Members noted that:

- **Internal Audit**
 - Of the four legacy internal audit reports all of the recommendations in the reports had been completed and there were no outstanding actions; and
- **External Audit** - As a consequence of the establishment of the JCC on one April 2024 there are legacy recommendations outstanding relating to the review of Audit Wales' Committee Governance Arrangements at WHSSC Report. The report outlined four recommendations for WHSSC all of which were completed in 2023. The report outlined three recommendations for WG two of which are categorised as partially completed and remain outstanding enquiries have been made with WG to obtain an update on WG discussions with Audit Wales on whether the outstanding actions could be categorised as completed, given that the committees of WHSSC and EASC had been combined under the JCC, and that the risk and governance frameworks were now established.

The Committee:

- **Noted** the report
- **Noted** the amalgamated JCC audit recommendations tracker incorporating the audit recommendations of the former organisations EASC & WHSSC
- **Noted** the progress achieved in implementing the recommendations made,
- Took an **assurance** on the rolling programme to collate updates from services on a bi-monthly basis in order to report progress to the Audit and Risk Committee; and

- **Noted** the progress made against the Welsh Government responses to the Audit Wales recommendations outlined in the WHSSC Committee Governance Arrangements report.

4.National Imaging Academy Wales (NIAW) Risk Register

The Committee:

- **Noted** the update provided relating to the NIAW’s Risk Register.

5.Any Other Business

- **JCC Lay Member – Audit and Finance Lead** – JM advised that due to the addition of two new lay members on the JCC – the Chair of the JCC was looking to rotate the portfolios assigned to the five x lay members. JM advised that this may be Paul Worthington’s last meeting as the JCC lay member Audit and Finance lead and members expressed their thanks to him for his contribution.
- **JCC –Interim Chief Commissioner** – The Chair expressed her thanks on behalf of the committee to Abigail Harris for her work in leading the JCC and wished her well in her new role as CEO of Swansea Bay UHB.

Matters referred to other Committees

None

Date of next scheduled meeting	17 December 2024
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